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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/750,066
		Filing Date	December 30, 2003
		First Named Inventor	Gregor K. Frey
		Art Unit	2122
		Examiner Name	Unassigned
Total Number of Pages in This Submission	23	Attorney Docket Number	6570P051

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Copy of related Office Actions(2), Claims prior to amend/claims presented in response(4). Copies of Cited References (7); Return postcards (2)</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas C. Webster, Reg. No. 46,154 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	11/16/06

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Janece Shannon		
Signature		Date	11-16-2006



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Complete if Known

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First Named Inventor	Gregor K. Frey
Examiner Name	Unassigned
Art Unit	2122
Attorney Docket No.	6570p051

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052		2052		Surcharge - late provisional filing fee or cover sheet.	
2053		2053		Non-English specification	
1251		2251		Extension for reply within first month	
1252		2252		Extension for reply within second month	
1253		2253		Extension for reply within third month	
1254		2254		Extension for reply within fourth month	
1255		2255		Extension for reply within fifth month	
1401		2401		Notice of Appeal	
1402		2402		Filing a brief in support of an appeal	
1403		2403		Request for oral hearing	
1451		2451		Petition to institute a public use proceeding	
1460		2460		Petitions to the Commissioner	
1807		1807		Processing fee under 37 CFR 1.17(q)	
1806		1806		Submission of Information Disclosure Stmt	
1809		1809		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810		2810		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) Submission of IDS prior to receiving first office action.					0.00
SUBTOTAL (2)				(\$)	0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thomas C. Webster	Registration No. (Attorney/Agent)	46,154	Telephone	(408) 720-8300
Signature		Date	11/16/06		



Attorney's Docket No.: 006570.P051

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gregor K. Frey

Serial No: 10/750,066

Filed: December 30, 2003

For: System and Method Employing
Bytecode Modification Techniques
For Tracing Services Within An
Application Server

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Unassigned

Art Unit: 2122

Confirmation No.: 9114

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 16, 2006

(Date of Deposit)

Janece Shannon

(Printed name)

Janece Shannon

(Signature)

11/16/2006

(Date)

INFORMATION DISCLOSURE STATEMENT AND NOTICE OF OTHER PENDING APPLICATION(S)

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Applicant would like to bring to the Examiner's attention the following co-pending patent application(s), which is/are assigned to the assignee of the present application, and which may be relevant to the present application:

The Applicant would like to bring to the Examiners attention an Office Action received in the following related co-pending applications, U.S. Patent Application No. 10/750,396, entitled, "Classfile Conversion Into An Organization Of Objects, and Modification Thereof, To Effect Bytecode Modification," filed on December 30, 2003 and U.S. Patent Application No. 10/749,617, entitled, "Of Modified Byte Code For Debugging, Testing and/or Monitoring Of

Object Oriented Software," filed on December 30, 2003. Applicant has provided the Examiner with a copy of the related Office Action, claims as they stood in the related application prior to the mailing of the Office Action and a copy of the claims as they were presented to the PTO in response to the Office Action.

Applicants respectfully request that the above-listed items not be printed on the face of any patent which may issue from the present application.

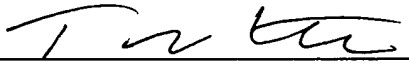
Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Dated: 11/16/06

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Thomas C. Webster
Reg. No. 46,154

12400 Wilshire Blvd.
Seventh Floor
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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/750,066
Filing Date	December 30, 2003
First Named Inventor:	Gregor K. Frey
Art Unit	2122
Examiner Name	Unassigned
Attorney Docket Number	6570.P051

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		US-	7,093,234	08-15-2006	Hibbeler et al.	
		US-	2002/0170036	11-14-2002	Cobb et al.	
		US-	2005/0039171 A1	02-17-2005	Avakian et al.	
		US-	2005/0039187 A1	02-17-2005	Avakian et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

 Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/750,066
				Filing Date	December 30, 2003
				First Named Inventor:	Gregor K. Frey
				Art Unit	2122
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	6570.P051
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		GEOFF A. COHEN et al., "Automatic Program Transformation with JOIE", Paper, Department of Computer Science, Duke University, 12 pages			
		ALAN SNYDER, "The Essence of Objects: Concepts and Terms", IEEE Software, January 1993, pp. 31-42, Sunsoft, Mountain View			
		Duke University, "The Java Object Instrumentation Environment", www.cs.duke.edu/ari/joie/ , last updated May 2003, printed September 28, 2006, 2 pages.			
		RALPH KELLER et al., "Supporting the Integration and Evolution of Components Through Binary Component Adaptation", www.cs.ucsb.edu/oocsb/ , September 9, 1997, Technical Report TRCS97-15, 12 pages			
		HAN BOK LEE, "BIT: Bytecode Instrumenting Tool" University of Colorado, Department of Computer Science 1997, 51 pages			
		MARKUS DAHM, "Welcome to the Byte Code Engineering Library 4.4.1", http://bcel.sourceforge.net/main.html , last updated 4/12/2002, 2 pages, printed September 28, 2006			
		Alphaworks, "Jikes Bytecode Toolkit: Overview", www.alphaworks.ibm.com/tech/jikesbt , posted March 31, 2000, 2 pages, printed September 28, 2006			

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
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				First Named Inventor:	Gregor K. Frey
				Art Unit	2122
				Examiner Name	Unassigned
Sheet	3	of	3	Attorney Docket Number	6570.P051
RELATED PATENT APPLICATION INFORMATION					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
		NIKOLAI NIKOLOV, " Execution of Modified Byte Code for Debugging, Testing And/Or Monitoring of Object Oriented Software ", Serial number 10/749,617, filed on December 30, 2003, Office Action mailed August 23, 2006. Copy of the Office Action, claims as they stood in the application prior to the mailing of the Office Action and a copy of the claims as they were presented to the PTO in response to the Office Action.			
		NIKOLAI NIKOLOV, " Classfile Conversion Into An Organization Of Objects, and Modification Thereof, To Effect Bytecode Modification ", Serial number 10/750,396, Office Action mailed September 5, 2006. Copy of the Office Action, claims as they stood in the application prior to the mailing of the Office Action and a copy of the claims as they were presented to the PTO in response to the Office Action.			

Examiner Signature		Date Considered	
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